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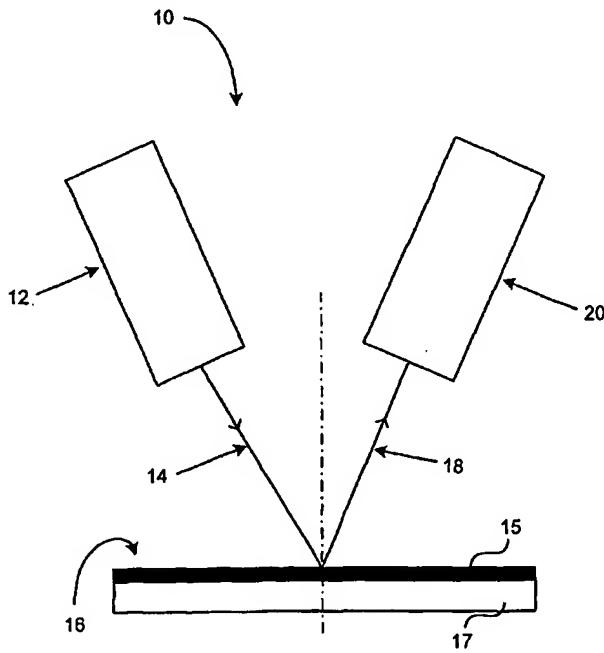
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*[Continued on next page]*

(54) Title: DIFFERENTIAL WAVELENGTH PHOTOLUMINESCENCE FOR NON-CONTACT MEASURING OF CONTAMINANTS AND DEFECTS LOCATED BELOW THE SURFACE OF A WAFER OR OTHER WORKPIECE

(57) Abstract: A method for using photoluminescence to identify defects in a sub-surface region of a sample includes performing a first probe of the sample. A first data set, based on the first probe, is produced indicating defects located primarily in a surface layer of the sample. A second data set, based on a second probe, is produced indicating defects located in both the surface layer and a sub-surface region of the sample. The first data set is subtracted from the second data set to produce a third data set indicating defects located primarily in the sub-surface region of the sample. The first data set may optionally be normalized relative to the second data set before performing the subtraction. The first and second probes may advantageously be performed using a first laser and a second laser, respectively, having different wavelengths from each other.



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**DIFFERENTIAL WAVELENGTH PHOTOLUMINESCENCE FOR NON-  
CONTACT MEASURING OF CONTAMINANTS AND DEFECTS LOCATED  
BELOW THE SURFACE OF A WAFER OR OTHER WORKPIECE**

**PRIORITY CLAIM**

5 [0001] This application claims the benefit of U.S. Provisional Application No. 60/697,055, filed July 6, 2005, which is incorporated herein by reference.

**BACKGROUND**

[0002] Photoluminescence imaging or photoluminescence spectroscopy is a contactless, nondestructive method of probing the electronic structure of 10 materials, such as silicon semiconductor wafers, as well as other workpieces and materials. In a typical photoluminescence process, light is directed onto a wafer or other workpiece or sample (hereinafter collectively referred to as a "wafer"), where some of the light is absorbed. The absorbed light imparts excess energy into the material via a process of "photo-excitation." This excess energy is 15 dissipated by the wafer through the emission of light, or photoluminescence. The intensity and spectral content of this photoluminescence is directly related to various material properties of the wafer.

[0003] Photoluminescence imaging processes may be used to identify and 20 quantify defects and contaminants present in the wafer based on spatial variations in the photoluminescence images produced. One photoluminescence imaging process, as described in International Application Number PCT/GB97/02388 (publication number WO 98/11425), which is incorporated

herein by reference, involves probing the surface and/or the sub-surface bulk region of the wafer with one or more lasers of varying excitation wavelengths. A laser of a given wavelength is directed into the wafer and penetrates the wafer to a given depth. Return light emitted from the wafer is detected and quantified by 5 a detection system. Images of the measured return light, including spatial images of defects and contaminants in the wafer, may then be produced by the detection system or by an associated image-producing system.

[0004] While these images may effectively identify defects and contaminants in the wafer, they are sometimes distorted due to non-uniformity in 10 the surface layer or epitaxial layer of the wafer. Wafer surface variances may be caused by, for example, a Bernoulli wand or similar device that suspends the wafer and applies moving gas over the wafer's surface. The distorted images resulting from these surface variances can make it difficult or impossible to accurately identify and quantify defects and contaminants in the sub-surface or 15 bulk region of the wafer. Thus, to obtain a more accurate measurement of the defects and contaminants in the bulk region of a wafer, photoluminescence image distortion, caused by variances in the surface layer of the wafer, must be substantially reduced or eliminated.

## SUMMARY

20 [0005] A method and apparatus uses photoluminescence to identify defects in a sub-surface region of a sample. A first data set is produced indicating defects located primarily in a surface layer of the sample. A second data set is produced indicating defects located in the surface layer and in a sub-

surface region of the sample. The first data set is then subtracted from the second data set to produce a third data set indicating defects located primarily in the sub-surface region of the sample. The first data set may optionally be normalized relative to the second data set before the subtracting step is 5 performed.

[0006] The first and second data sets may be advantageously generated by performing first and second probes, using first and second lasers, respectively. The second laser may have a wavelength greater than that of the first laser, or the first laser may have a wavelength greater than that of the 10 second laser.

[0007] Other features and advantages of the invention will appear hereinafter. The features of the invention described above can be used separately or together, or in various combinations of one or more of them. The invention resides as well in sub-combinations of the features described. 15 Furthermore, many of the method steps described herein may be performed in a different order than that which is explicitly described.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0008] In the drawings, wherein the same reference number indicates the same element in each of the figures:

20 [0009] Fig. 1 is a schematic diagram illustrating one configuration of the basic components of a photoluminescence imaging system.

[0010] Fig. 2 is a schematic diagram illustrating another configuration of the basic components of a photoluminescence imaging system.

## DETAILED DESCRIPTION OF THE DRAWINGS

[0011] Various embodiments of the invention will now be described. The following description provides specific details for a thorough understanding of these embodiments. One skilled in the art will understand, however, that the 5 invention may be practiced without many of these details. Additionally, some well-known structures or functions may not be shown or described in detail so as to avoid unnecessarily obscuring the relevant description of the various embodiments.

[0012] The terminology used in the description presented below is 10 intended to be interpreted in its broadest reasonable manner, even though it is being used in conjunction with a detailed description of certain specific embodiments of the invention. Any terminology intended to be interpreted in any restricted manner will be overtly and specifically defined as such in this detailed description section.

[0013] Fig. 1 schematically illustrates the basic components of one 15 configuration of a photoluminescence spectroscopy or imaging system 10. The photoluminescence imaging system 10 includes a light source 12, such as a laser diode or other suitable light-emitting diode (LED) or device. The light source 12 generates an illumination or incident laser beam 14 or other light beam 20 (e.g., a UV light beam) toward, either directly or via reflection off of one or more optical components, a wafer 16 or other sample. The term "wafer," as used herein, includes any sample upon which photoluminescence imaging may be performed. The term "laser beam," as used herein, includes any light beam suitable for exciting one or more material layers in the wafer.

[0014] The wafer 16 may be a silicon semiconductor wafer or other microelectronic, microelectro-mechanical, or optoelectronic substrate or other sample. The wafer 16 may include one or more epitaxial layers or other surface layers 15 grown on, applied on, or otherwise located on a substrate region or 5 other bulk region 17 of the wafer 16. For example, the wafer 16 may include a bulk region 17 of silicon (Si) and a surface layer 15 of silicon germanium (SiGe). The wafer 16 may include any number of layers including any number of materials and/or compositions.

[0015] The incident laser beam 14 penetrates the wafer 16 to a given 10 depth, which is substantially a function of the wavelength of the incident laser beam 14. At least a portion of the incident laser beam 14 is absorbed by the wafer 16, and return light 18 emitted from the wafer 16 (corresponding to the absorbed incident laser beam 14) is then detected by a detector 20, such as a CCD array or other suitable detection system. A microprocessor, computer, or 15 other processing system is preferably included in, or is connected to or otherwise associated with, the detector 20 for processing data from the return light 18 detected by the detector 20.

[0016] Fig. 2 illustrates an alternative configuration of a photoluminescence imaging system 10' including a beam splitter 25 for reflecting 20 the incident laser beam 14 toward the wafer 16, and for transmitting the return light 18 toward the detector 20. One or more additional beam splitters 25 or other optical elements or mirrors may be included in the photoluminescence imaging system 10 or 10' to achieve a desired size or configuration of the

system, and/or to select, focus, collect, and/or direct the incident laser beam 14 and/or the emitted return light throughout the system.

[0017] The photoluminescence imaging system 10 or 10' may further include any number of additional or alternative components for performing 5 photoluminescent imaging or spectroscopy on a wafer 16. For example, the photoluminescence imaging system 10 may include an imaging microscope having multiple laser sources, a display screen for visually displaying photoluminescent image data, a wafer holder for supporting the wafer 16, one or more filters for filtering incident or return light, as well as any other suitable 10 components.

[0018] A detailed example of a photoluminescent imaging system is described in International Application Number PCT/GB97/02388. For ease of description, reference character "10" will be used throughout the remainder of this description to identify a suitable photoluminescence imaging system. It is to 15 be understood, however, that any system configuration, such as the system 10' illustrated in Fig. 2, or the detailed system described in International Application Number PCT/GB97/02388, may be used to perform the photoluminescence imaging processes described below.

[0019] Images of the sub-surface or bulk region of a wafer, which are 20 produced using a photoluminescence imaging process, are often distorted or obscured as a result of surface layer variances in the wafer. Methods of substantially reducing or eliminating this distortion, so that defects in the bulk or sub-surface region of the wafer can be readily identified and quantified, will now be described. The term "defects," as used herein, includes any contaminants,

flaws, discontinuities, impurities, variations, and/or other non-uniformities of a metric associated with a state of the wafer.

[0020] The wafer 16 is first loaded into a photoluminescence imaging system 10 and secured to a wafer holder or other support. Depending on the 5 system configuration, one or more wafers 16 may be loaded into the photoluminescence imaging system 10 for processing. For ease of description, the process of imaging a single wafer 16 will be described herein.

[0021] A first laser, having a first wavelength, is directed toward a wafer 16. The wavelength of the first laser is preferably chosen such that the first laser 10 penetrates the wafer 16 to a depth substantially equal to, or slightly greater than, the thickness of the surface layer 15 or epitaxial layer of the wafer 16. For example, a green laser, having a wavelength of approximately 532 nm, may be used to penetrate the surface layer 15 of a typical silicon semiconductor wafer. This process of penetrating the surface layer 15 with a first laser, while not 15 penetrating a substantial portion of the bulk or sub-surface region 17 of the wafer 16, is referred to herein as a surface probe.

[0022] Return light from the surface probe is detected by the detector 20, and is processed by a microprocessor or similar device to produce intensity values or defect data corresponding to defects located primarily in the surface 20 layer region 15 of the wafer 16. The term "primarily," as used herein, means predominantly or entirely. This surface layer defect data may optionally be converted into a visual image indicating the locations and quantity of defects in the surface layer of the wafer 16. The visual image may be displayed on a monitor or other suitable display screen and/or may be printed out in hard copy

form via a printer or similar device. The surface layer defect data may then be stored or otherwise preserved for later use.

[0023] Once the surface probe is completed, a second laser, having a second wavelength, is directed toward the wafer 16. The wavelength of the 5 second laser is preferably chosen such that the second laser penetrates the wafer 16 to a depth substantially equal to the total thickness of the wafer 16. For example, a red laser, having a wavelength of approximately 827 nm, may be used to penetrate at least substantially through the bulk region 17 of a typical silicon semiconductor wafer. As a result, this bulk probe or sub-surface probe 10 yields defect data corresponding to both the surface layer 15 and the bulk or sub-surface region 17 of the wafer 16.

[0024] The wavelength of the second laser may of course be chosen such that the second laser does not penetrate all the way through the bulk region 17 of the wafer 16, or so that it does not penetrate beyond a given depth in the wafer 15 16. This may be done in situations, for example, where defect measurements are not desired or required below a given depth within the bulk region 17 of the wafer 16.

[0025] In general, the first and second lasers may be of any suitable wavelengths to penetrate the wafer 16 to desired depths. If the surface layer 15 20 of a given wafer 16 is thicker than the depth to which a 532 nm (green) laser will penetrate, for example, then a laser having a greater wavelength than 532 nm may be used to penetrate through the entire surface layer 15 (or through substantially all of the surface layer 15). The first and second lasers, therefore, may be of any wavelengths suitable for penetrating the wafer to depths required

for a given application. Moreover, in alternative embodiments, the bulk probe may be performed prior to performing the surface probe, or the bulk probe and the surface probe may be concurrently performed.

[0026] Return light from the bulk probe is detected by the detector 20, and  
5 is processed by the microprocessor or other device to produce intensity values or defect data corresponding to defects in both the bulk region 17 and the surface layer region 15 of the wafer 16. This defect data may optionally be converted into a visual image indicating the locations and quantity of defects in both the bulk region 17 and the surface layer 15 of the wafer 16. The visual image may  
10 be displayed on a monitor or other suitable display screen and/or may be printed out in hard copy form via a printer or similar device. As explained above, however, such an image may be distorted or obscured due to variations in the surface layer 15 of the wafer 16. Thus, the image may not effectively convey the locations and/or quantity of defects present in the wafer 16, particularly in the  
15 bulk region of the wafer 16.

[0027] In one embodiment, once the results of the surface probe and the bulk probe have been obtained, the intensity values or defect data points obtained from the surface probe are mathematically subtracted from the intensity values or defect data points obtained from the bulk probe. Put another way,  
20 intensity values or defect data points common to both the surface probe and the bulk probe (i.e., intensity values or defect data points corresponding to defects primarily in the surface layer 15 of the wafer 16) are mathematically removed from the bulk probe data to produce a new data set. This subtraction or removal process may be performed via a software program, or any other suitable program

or processor, that compares the data points from the surface probe and the bulk probe and subtracts off or otherwise removes the data points common to both probes.

[0028] By removing the defect data corresponding to the uneven surface

5 layer 15, the new data set, which corresponds primarily to defects in the bulk region 17 of the wafer 16, is no longer distorted by the previously overlying surface layer defect data. Accordingly, a substantially clearer image of the location and concentration of defects present in the bulk region 17 of the wafer 16 may be produced using the new data set. A visual image of these bulk region  
10 defects may be generated using an image-producing device associated with, or located in, the detector 20 and/or with other system elements. The visual image may be displayed on a monitor or other suitable display screen and/or may be printed out in hard copy form via a printer or similar device.

[0029] In another embodiment, the surface probe data and the bulk probe

15 data are normalized relative to each other, before the subtraction process is performed, to enhance the defect images and to make them more easily mathematically processable. The normalization process may include adjusting the contrast and/or the brightness of one or both of the images produced by the surface and bulk probes so that they have the same, or substantially the same,  
20 relative intensity. Such a normalization process may be performed by a software program or other suitable program or processor, which may be the same as or different than the program or processor that performs the subtraction process.

[0030] The normalization process may alternatively or additionally include plotting data points from the surface probe versus data points from the bulk

probe and removing any mathematically outlying data points, thus yielding images that are more readily mathematically processable. For example, a software program or other suitable program or processor, which may be the same as or different than the program or processor that performs the subtraction process, may curve-fit lines to their respective data points and then remove any outlying data points located beyond a predetermined tolerance limit or distance from the lines.

[0031] By normalizing the surface probe data relative to the bulk probe data before performing the subtraction process, a clearer, more precise new data set, corresponding to defect data located primarily in the bulk region 17 of the wafer 16, may be obtained. Any other suitable method(s) for normalizing the bulk probe and surface probe data and/or corresponding images relative to each other may additionally or alternatively be used.

[0032] The photoluminescence imaging processes described above may also be performed on wafers having more than two layers. In such a case, the same or a similar process may be performed wherein a first laser having a first wavelength is penetrated to a first depth located approximately at an upper region of a layer to be measured, and a second laser having a second wavelength is penetrated to a second depth located approximately at a lower region of the layer to be measured. The normalization and subtraction processes (subtracting the first measurement from the second measurement, in this example) described above may then be applied to generate defect data corresponding to the desired layer.

[0033] The photoluminescence imaging processes described above may be performed at approximately room temperature, as described in International Application Number PCT/GB97/02388. Alternatively, any of the photoluminescence imaging processes may be performed at higher or lower 5 temperatures, including significantly higher or lower temperatures, depending upon the needs of a given application.

[0034] Any suitable devices and components may be used to perform the photoluminescence processes described herein. For example, various means may be used to produce lasers of varying excitation wavelengths, such as one or 10 more laser diodes or LEDs or other suitable light-producing devices. Any suitable means for detecting return light emitted from a wafer may also be used, such as a CCD array, a spectrometer, or any other suitable detecting system or device. Means for generating defect data based on the detected return light may include a software program or other program or processor, which may be 15 included in or associated with the detector and/or with other elements in the photoluminescence imaging system. Such a program or processor may also be a means for performing the subtracting and/or optional normalizing processes described above. Alternatively, one or more separate programs or processors may be used to perform one or more of these processes.

20 [0035] Thus, while several embodiments have been shown and described, various changes and substitutions may of course be made, without departing from the spirit and scope of the invention. Many of the method steps described herein, for example, may be performed in a different order than that which is specifically described. For example, in any of the embodiments described

above, the bulk or sub-surface probe may be performed before the surface probe. The invention, therefore, should not be limited, except by the following claims and their equivalents.

**CLAIMS**

What is claimed is:

1. A method for using photoluminescence, comprising:  
producing a first data set characteristic of defects located primarily in a surface  
5 layer of a sample;

producing a second data set characteristic of defects located in the  
surface layer and in a sub-surface region of the sample; and

subtracting the first data set from the second data set to produce a third  
data set indicating defects located primarily in the sub-surface region of the  
10 sample.

2. The method of claim 1 further comprising normalizing the first and  
second data sets relative to each other before performing the subtraction.

15 3. The method of claim 2 wherein normalizing comprises adjusting at  
least one of a contrast and a brightness of at least one of a first and second  
image corresponding to the first and second data sets, respectively.

20 4. The method of claim 2 wherein normalizing comprises eliminating  
outlying data points from at least one of the first and second data sets.

5. The method of claim 1 wherein a first laser used to produce the first  
data set has a wavelength of approximately 532 nm and a second laser used to  
produce the second data set has a wavelength of approximately 827 nm.

6. The method of claim 1 further comprising converting the first data set into a first image showing defects in the surface layer of the sample, and converting the second data set into a second image showing defects in the  
5 surface layer and in the sub-surface region of the sample, before performing the subtraction.

7. The method of claim 1 further comprising converting the third data set into an image showing defects located primarily in the sub-surface region of  
10 the sample.

8. The method of claim 1 wherein producing a first data set comprises performing a first probe of the sample using a first laser having a first wavelength, and wherein producing a second data set comprises performing a  
15 second probe of the sample using a second laser having a second wavelength.

9. The method of claim 8 wherein the first and second probes are concurrently performed.

20 10. The method of claim 1 wherein subtracting comprises removing, from the second data set, data points common to both the first and second data sets to yield the third data set.

11. The method of claim 1 wherein the subtraction is performed by a software program or a processor.

12. A method for identifying defects in a sample, comprising:  
5           directing a first laser to a first depth in the sample;  
             generating first defect data corresponding to first return light emitted from  
the sample;  
             directing a second laser to a second depth, greater than the first depth, in  
the sample;  
10           generating second defect data corresponding to second return light  
emitted from the sample; and  
             subtracting the first defect data from the second defect data to obtain third  
defect data corresponding to defects in a region of the sample located deeper  
than the first depth.

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13. The method of claim 12 further comprising normalizing the first and  
second defect data relative to each other before performing the subtraction.

14. The method of claim 13 wherein normalizing comprises adjusting at  
20 least one of a contrast and a brightness of at least one of a first and second  
image corresponding to the first and second defect data, respectively.

15. The method of claim 13 wherein normalizing comprises eliminating  
outlying data points from at least one of the first and second defect data.

16. The method of claim 12 wherein the first and second lasers are concurrently directed into the sample.

5        17. A method for using photoluminescence to identify defects in a sub-surface region or bulk region of a sample, comprising:

performing a surface probe with a first laser to generate first defect data;

10        performing a bulk probe with a second laser to generate second defect data;

normalizing the first defect data relative to the second defect data to yield normalized first defect data and normalized second defect data; and

15        calculating a difference between the normalized second defect data and the normalized first defect data to yield third defect data corresponding to defects located primarily in the bulk region of the sample.

18. The method of claim 17 wherein normalizing comprises modifying one or both of the first and second defect data.

19. The method of claim 17 wherein normalizing comprises adjusting at least one of a contrast and a brightness of one or both of a first and second image corresponding to the first and second defect data, respectively.

20. The method of claim 17 wherein normalizing comprises eliminating outlying data points from at least one of the first and second defect data.

21. The method of claim 20 wherein eliminating outlying data points comprises:

plotting the first defect data versus the second defect data;

5 curve-fitting first and second lines to the plotted first defect data and second defect data, respectively; and

removing data points located beyond a predetermined tolerance limit or distance from the first and second lines.

10 22. The method of claim 17 wherein calculating a difference comprises removing, from the second defect data, data points common to both the normalized first and second defect data to yield the third defect data.

15 23. The method of claim 17 wherein the bulk probe and the surface probe are concurrently performed.

24. A photoluminescence imaging apparatus, comprising:

means for generating first data characteristic of defects located in a first region of a sample;

20 means for generating second data characteristic of defects located in the first region and in a second region of a sample; and

means for subtracting the first data from the second data to obtain third data characteristic of defects primarily in the second region.

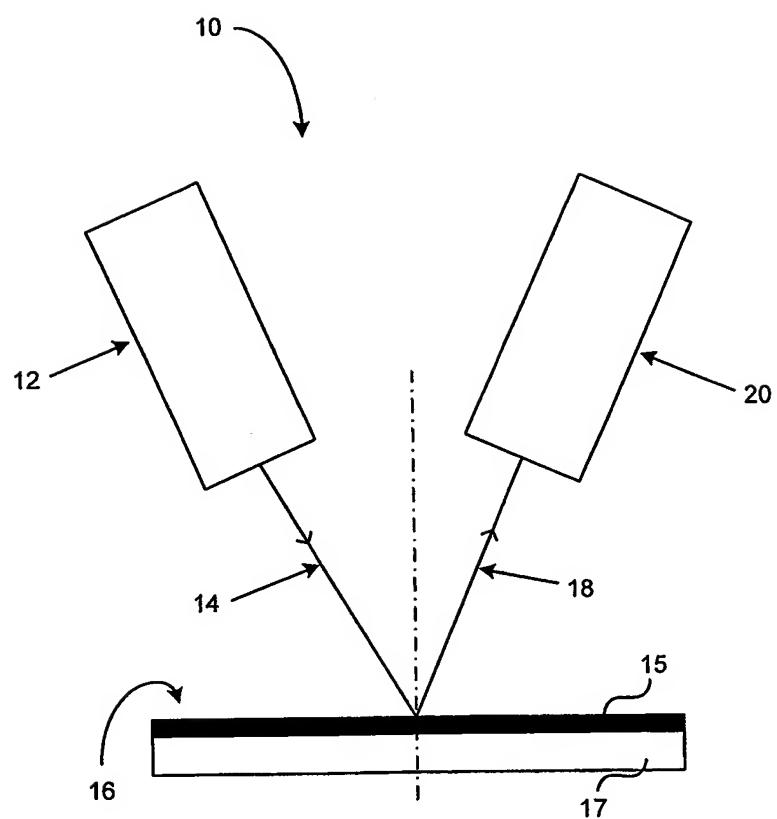
25. The apparatus of claim 24 further comprising means for normalizing the first data relative to the second data.

26. The apparatus of claim 24 further comprising:

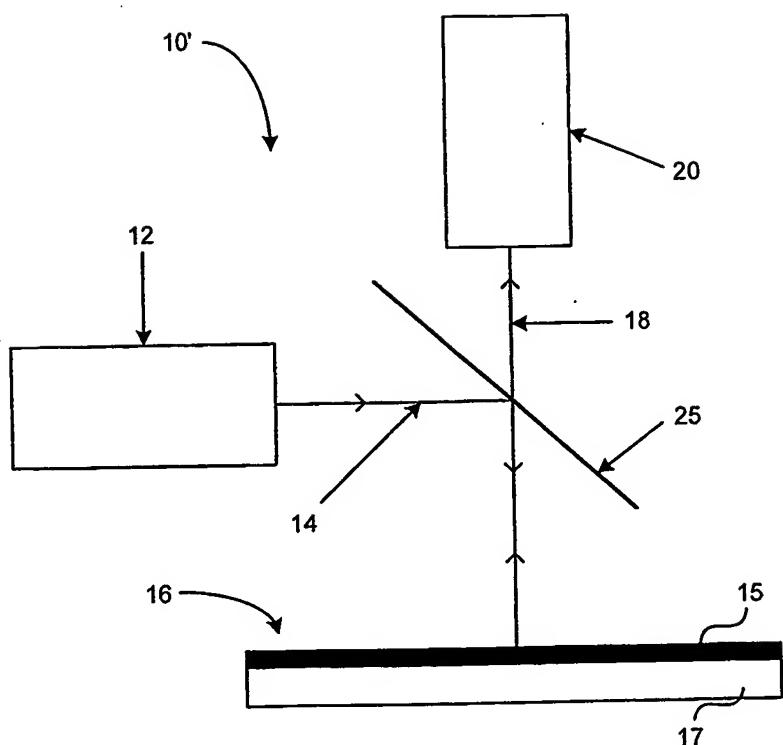
5 means for producing a first laser having a first wavelength to penetrate the sample to a lower depth of the first region;

means for producing a second laser having a second wavelength, which is greater than the first wavelength, to penetrate the sample to a lower depth of the second region; and

10 means for detecting return light, emitted from the sample, corresponding to the first and second lasers.



**FIG. 1**



**FIG. 2**

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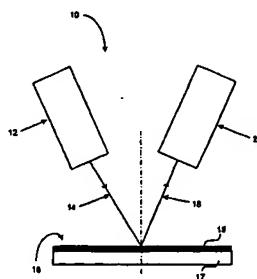
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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: DIFFERENTIAL WAVELENGTH PHOTOLUMINESCENCE FOR NON-CONTACT MEASURING OF CONTAMINANTS AND DEFECTS LOCATED BELOW THE SURFACE OF A WAFER OR OTHER WORKPIECE



(57) Abstract: A method for using photoluminescence to identify defects in a sub-surface region of a sample (16) includes performing a first probe (14) of the sample. A first data set, based on the first probe, is produced indicating defects located primarily in a surface layer of the sample. A second data set, based on a second probe, is produced indicating defects located in both the surface layer and a sub-surface region of the sample. The first data set is subtracted from the second data set to produce a third data set indicating defects located primarily in the sub-surface region of the sample. The first data set may optionally be normalized relative to the second data set before performing the subtraction. The first and second probes may advantageously be performed using a first laser (12) and a second laser, respectively, having different wavelengths from each other.

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# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US06/25257

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC: G01N 21/64( 2006.01)

USPC: 250/458.1,459.1

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 250/458.1,459.1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A, E	US 7,113,276 B1 (HIGGS et al) 26 September 2006 (26.09.2006), column 3, lines 35-42, column 3, line 64 - column 4, line 35, and figure 9 and its descriptions	1-24

Further documents are listed in the continuation of Box C.

See patent family annex.

* Special categories of cited documents:	T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier application or patent published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
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"P" document published prior to the international filing date but later than the priority date claimed		

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